

Division of Diversity, Equity & Inclusion

# Overview of Harassment Prevention

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Director of Equity Investigations & ADA Compliance

Office of Institutional Equity

### **Agenda**

- → Context for the university's process
- → What it means to be a mandated reporter (Academic or Administrative Officer).
  - What needs to be reported
  - Handling a disclosure
  - Policy requirements
- → What we do after receiving a report
- → Common questions
- → Other questions?



## Policy on Sexual Harassment and Sexual Misconduct

### Context

Compliance

 Title IX, Title VII, Clery, VAWA, First Amendment, State Law, Case law

Research and Expert Consensus

Prevention, policies and procedures, sanctions

**Environment** 

Community expectations



# How do I fulfill my obligations to report?

### **Know what to report**

- → Any unwelcome sexual behavior
- → Possible dating/domestic violence
- → Repeated unwelcome contact causing fear or emotional distress.
- → Potential harassment based on sex, sexual orientation, or gender identity



### **Prohibited conduct**

- → Sexual harassment
  - Hostile environment
  - Quid pro quo
- → Sexual assault
- → Dating/Domestic violence
- → Stalking
- → Sexual exploitation
- → Non-consensual sexual contact
- → Sex-based harassment
- → Sex discrimination
- → Retaliation



# In the moment of a disclosure...



LISTEN

CHECK

REFER

REPORT

As soon as you think you might be hearing a disclosure, let them know your responsibilities and the limits to your confidentiality.



LISTEN

CHECK

REFER

REPORT

## Can I talk to you about something?

"Absolutely. I don't know what you want to talk about, but I want to be up front – there are certain things I can't keep confidential."

## Can I talk to you about something, confidentially?

"I'm happy to listen, but whether I can keep something confidential depends on what you share. If confidentiality is important to you, I'm happy to get you connected with a confidential resource on campus."



LISTEN

CHECK

REFER

REPORT

### Please don't tell anyone.

"I'm sorry, but this is information that I'm required to report. This doesn't mean you won't have control over any next steps. The goal is to empower you with information about options and resources, so you have what you need to get through this. There's no obligation on your part to do anything.



LISTEN

CHECK

REFER

REPORT

People often overcome multiple barriers before they disclose. Keep your response simple and supportive.



LISTEN

CHECK

REFER

REPORT

"I'm sorry that this happened to you. Thank you so much for trusting me."

"I'm not sure what I need to do next, but I do know that I want to support you, so I'm going to find out what our resources are."

"This is serious. I want to make sure you get the support that you need."

The overall message:

- I hear you.
- I care about what you're saying.
- I want to get you the support you need.
- Thank you for sharing this with me.

### **Barriers to reporting**

- → Self-blame
- → Embarrassment
- → Avoidance
- → Fear of retaliation
- → Fear of not being believed
- → Cultural norms
- → Fear of social rejection
- → Immigration status
- → Lack of trust





LISTEN

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REFER

REPORT

Check if they have safety concerns and need immediate support.

Rape Victim Advocacy Program (24/7) (800) 228-1625

Domestic Violence Intervention Program (24/7) (800) 373-1043

Department of Public Safety (24/7) 911 or (319) 335-5022

Threat Assessment Team: (319) 384-2955



LISTEN

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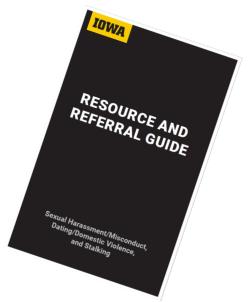
REFER

REPORT

Refer them to a confidential advocate (RVAP or DVIP).

Let them know you'll be sharing information with Title IX and Gender Equity.

Give them a copy of the Resource & Referral Guide.





LISTEN

CHECK

REFER

REPORT

Notify Title IX and Gender Equity within 2 business days.

Consider calling us in the moment. It might be reassuring for the Reporting Party to be a part of the reporting process.

### **Additional Tips**

- → Be "multi-partial"
- → Know campus resources or have a flier on hand.
- → Bookmark TIXGE website: <a href="https://diversity.uiowa.edu/resources/tixge-resources">https://diversity.uiowa.edu/resources/tixge-resources</a>.
- → Be clear about you will do with the information shared. Don't share more widely.
- → Don't solicit information or start investigating
- → Don't confront the accused
- → Avoid putting a label on someone's experience
- → Avoid making promises about things outside your control



### **AAO Policy Requirements**

- 1. Provide resolution options
- 2. Provide confidential resources
- 3. Report to the Office of Institutional Equity in 2 business days
  - Email: oie-tixge@uiowa.edu
  - Online webform: <a href="https://diversity.uiowa.edu/report/report-problem">https://diversity.uiowa.edu/report/report-problem</a>
  - Phone: 319-335-6200
- 4. Notify Sr HR Leadership or Associate Dean



### After you report: what we do

# Resolution Options

Address problem behavior

### Supportive Measures

Non-punitive actions



### **Resolution Options**

- → Formal Grievance Process\*
  - Determines whether Policy on Sexual Harassment and Sexual Misconduct was violated
- → Adaptable Resolution\*
  - Voluntary process that involves attempting to reach a resolution with the Respondent without Formal Grievance Process
- → Non-Disciplinary Intervention
  - Coaching conversation with Respondent
  - Group education for department or team
- → Mutual No Contact Directive
  - May be modified to permit necessary contact when parties are in same department, program, or residence hall

\*AAOs are expected to provide.



### **Supportive Measures**

- → May include:
  - Counseling referral or linkage with an advocate\*
  - Flexibility with university commitments (parking, housing, class/work schedules, academic work)
  - Coaching conversation
  - Group education
  - Mutual no contact directive
  - Changing sections of a class
- → Available with or without formal complaint
- → No notice necessarily provided to Respondent
- → No actions that unreasonably burden Respondent

\*AAOs are expected to provide.



### Resources

Confidential	Non-Confidential
Ombudsperson	Office of Institutional Equity
Employee Assistance Program	Threat Assessment Program
Rape Victim Advocacy Program	University Human Resources
Domestic Violence Intervention Program	Dean of Students
University Counseling Service	Department of Public Safety



### **Common Questions**

- → What's my role after I report something?
- → How do I know if something is being done to address the concerns I reported?
- → Will the accused know that something was reported?
- → Will police be contacted?
- → Can the parties be told not to talk about the situation?
- → Can someone be disciplined if the complainant chooses not to make a formal complaint under the SH/SM Policy?
- → What do I do if the disclosure comes from the person accused?



# **Additional University Policies**

# Consensual Relationships Involving Students

Governs romantic and/or sexual relationships between instructor and students

 Professors, Teaching Assistants, Academic Advisors, Coaches, Residence Hall Professional Staff, other instructional personnel

### Physical and Sexual Abuse of Children

- →All University employees who in the course of employment receive information related to physical or sexual abuse of children <u>must</u> immediately report such information to the University of Iowa Police. Exceptions:
  - Ombudsperson employees
  - Clergy
  - Attorneys as required by rules of professional responsibility
  - Statutory mandatory reporter whose professional code of ethics or HIPAA restrictions prohibit (must still report to DHS)
- → In the case of incidents not on the lowa City campus, local police authorities should also be called in order to avoid any delay in response.
- →UI employees who are <u>statutory mandatory reporters</u> of all forms of child abuse (not just physical or sexual abuse) under state law must also fulfill their statutory obligation to report to the Department of Human Services as provided by *Iowa Code* 232.67-70.



### **Policy on Human Rights**

The University is guided by the precepts that in no aspect of its programs shall there be differences in the treatment of persons because of race, creed, color, religion, national origin, age, sex, pregnancy, disability, genetic information, status as a U.S. veteran, service in the U.S. military, sexual orientation, gender identity, associational preferences, or any other classification that deprives the person of consideration as an individual...



### **Protected Classes**

- **→** Race
  - → Creed
  - → Color
  - → Religion
  - → National Origin
  - →Sex
  - →Age
  - → Disability
  - → Pregnancy

- Sexual Orientation
- Gender Identity
- Genetic Information
- U.S. Veteran Status
- Service in the U.S. Military
- Associational Preference



### **Anti-Harassment Policy (Cont.)**

Conduct:



### **Types of Harassment**



Harassment based on a protected class

 race, creed, color, religion, national origin, sex, age, disability, sexual orientation, gender identity, genetic information, U.S. veteran status, U.S. military service

Harassment for other reasons

 name-calling, physical appearance, practical jokes



### **Anti-Harassment Policy (Cont.)**

→ AAO Responsibilities under the Anti-Harassment Policy:

#### Protected class

• **shall report the allegations promptly** to the Office of Institutional Equity for assistance in evaluating the situation and determining an appropriate course of action, even if the impacted party has requested that no action be taken.

### Non-protected class

- Inform of options available (informal, formal)
- Assist with resolving (informal)



### **Policy on Violence**

- → Prohibits acts and threats of violence such as:
  - physical assault or abuse
  - sexual assault or abuse
  - threats with a weapon
  - verbal or other threats of physical or sexual assault
  - domestic/dating violence
  - stalking
  - damage or destruction of another's private property
  - violent conduct prohibited by the Code of lowa





### Policy on Violence (Cont.)

→AAO Responsibilities under the Policy on Violence:

#### Protected class

• <u>shall report the allegations promptly</u> to the Office of Institutional Equity for assistance in evaluating the situation and determining an appropriate course of action, even if the impacted party has requested that no action be taken.

### Non-protected class

- Inform of options available (informal, formal)
- Assist with resolving (informal)

### **Anti-Retaliation Policy**

- → "Retaliation as a response to a good-faith report, whether determined to be founded or unfounded, will not be tolerated... The University... considers acts or threats of retaliation in response to good-faith reports to constitute a serious violation of University policy." (Ops. Manual 11.1)
  - Policy on Sexual Harassment and Sexual Misconduct has its own prohibition on retaliation as required by Title IX
- → Key elements:
  - Good faith report of university-related misconduct
  - Materially adverse action (or threat)
  - Reasonable person standard
- → Office responsible for investigating the retaliation complaint is the office to which the original good faith report of university-related misconduct was made.



# Possible Steps Before Filing a Complaint

- → Let the harasser know that the conduct is unwanted and unwelcome.
- → Consult with an AAO (remember that an AAO is a mandatory reporter according to the University).
- → Consult with confidential resources.
- → Contact law enforcement agency.



### **Options to file a complaint:**

- → Informal resolution
- → Formal investigation
- → File a complaint with an external agency
  - Iowa Civil Rights Commission (ICRC)
  - Equal Employment Opportunity Commission (EEOC)
  - Office for Civil Rights (OCR)
- → Contact law enforcement agency



### For additional information, please contact...



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